

### COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $\underline{\phantom{a}}$  is attached hereto or  $\underline{\underline{X}}$  was filed on  $\underline{\underline{May 25, 2001}}$  as Application Serial No.  $\underline{\underline{09/866,028}}$ and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as

amended by any amend	lment referred to a	above.			
Code of Federal Regula	tions, Section 1.5	6.	to the examination of this application i		
hereby claim foreign pr nventor's certificate liste ling date before that of	ed below and have	also identified below	ates Code, §119(a)-(d) of any foreign any foreign application for patent or in timed:	application(s) fonventor's certific	r patent or ate have a
For Foreign Applicatio	n(s):		·	Priority ( Yes	Claimed No
Namber 10	Country	Day/Month/Y			
m	endix A (listing		19(e) of any United States provisional a	applications(s) lis	
,m <sub>e</sub> m,		•	120 of any United States applications	(s) listed below a	ind, insofar
as the subject matter of	each of the claims agraph of Title 35, <sup>l</sup> e of Federal Regu	of this application is a United States Code, lations, §1.56 which	not disclosed in the prior United State §112, I acknowledge the duty to discloscurred between the filing date of the	ose material info	rmation as
See Attached App	endix B (listin	g 19 U.S. and P	CT Patent Applications)		
Application Ser. No.		Filing Date	Status: Patented, Pend	ing, Abandoned	I
Application Ser. No.		Filing Date	Status: Patented, Pend	ling, Abandoned	I





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09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

1 DNA Way

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

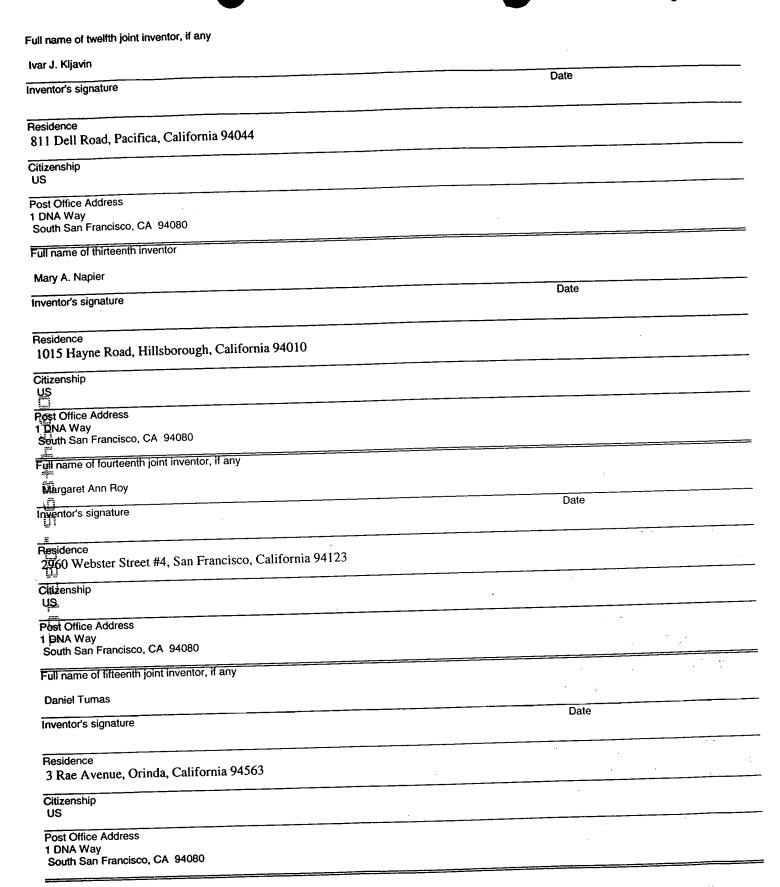
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-ull name of sole or first inventor	
Kevin P. Baker	
Inventor's signature	Date 8/2/01
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Pest Office Address 1 DNA Way Seuth San Francisco, CA 94080	
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David Botstein	Date
laventor's signature	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	<del></del> -
Dan L. Eaton	Date
Inventor's signature	
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ill name of forth inventor	
apoleone Ferrara	Date
ventor's signature	
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itizenship US	
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ull name of fifth joint inventor, if any	
Ellen Filvaroff	Date
nventor's signature	Date
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Mary E. Gerritsen	Date
Inventor's signature	
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Full name of seventh inventor	
Audrey Goddard	Date
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aul J. Godowski	
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Kenneth J. Hillan	Date
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Full na	ame of	sixteenth	inventor
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William I. Wood			
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Citizenship US			
Post Office Address			
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and was amended on _ (if application)	oie).	as filed on May 25, 2001 as Application Serial No. 09/866,028
amended by any amendment rele	etted to above.	s of the above-identified specification, including the claims, as
Code of Federal Regulations, Sec	MON 1.50.	o the examination of this application in accordance with Title 37,
I hereby claim foreign priority bene inventor's certificate listed below a filing date before that of the applic	no nave also identifica polovi	tes Code, §119(a)-(d) of any foreign application(s) for patent or any foreign application for patent or inventor's certificate have a imed:
		Priority Claimed
Prior Foreign Application(s):		Yes No
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	Day/Month/Y	ear Filed
Number Country		
		19(e) of any United States provisional applications(s) listed below:  onal Patent Applications)
See attached Appendix A	(listing to 0.3. Flovisi	<del></del>
Application Ser. No.	Filing Date	
increby claim the benefit under Ti as the subject matter of each of the provided by the first paragraph of defined in Title 37, Code of Fede national or PCT international filir	itle 35, United States Code, §1 ne claims of this application is r Title 35, United States Code, aral Regulations, §1.56 which ang date of this application:	I 20 of any United States applications(s) listed below and, insofar not disclosed in the prior United States application in the manner §112, I acknowledge the duty to disclose material information as occurred between the filing date of the prior application and the
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Date
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Date

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Ellen Filvaroff	Date
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Mary E. Gerritsen	Date ·
Inventor's signature	Date .
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Audrey Goddard	
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2627 Easton Drive, Burninganie, Camornia 94010	
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### Full name of twelfth joint inventor, if any

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## Full name of sixteenth inventor

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William I. Wood	Date
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Residence 35 Southdown Court, Hillsborough, California 94010	
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Prior Foreign Application				Priority Yes	Claimed No
Number	Country	Day/Month/Ye			
See attached Appe Application Ser. No. Ihereby claim the benef as the subject matter of provided by the first para defined in Title 37, Cod- national or PCT internal	endix A (listi it under Title 35 each of the clair agraph of Title 3 e of Federal Re tional filing dat	ng 18 U.S. Provision Filing Date  United States Code, §1 ms of this application is no provided States Code, §2 gulations, §1.56 which do not not not not not not not not not no	9(e) of any United States provisional applications)  20 of any United States applications (so disclosed in the prior United States (\$112, I acknowledge the duty to disclose the disclosed in the filing date of the disclose	s) listed below application in	and, insofar the manner formation as
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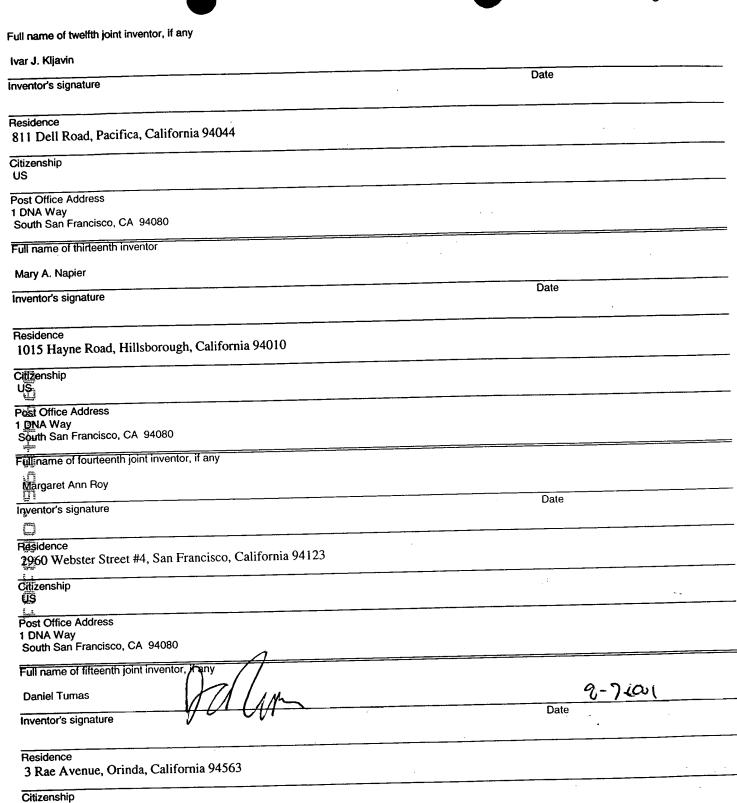
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Full name of sole or first inventor	
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Dan L. Eaton	Date
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sidence 90 Pacific Avenue #704, San Francisco, California 94109	
izenship	
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Ellen Filvaroff  nventor's signature	2 /Oate
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riventor's signature	Date 8/6/01
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full name of eighth joint inventor, if any	
Paul J. Godowski	
nventor's significant	) 38/U1
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licts	SOLDER WOLDS	nder Title 35, United Stat we also identified below a n on which priority is clai	es Code, §119(a)-(d) of any foreign any foreign application for patent or ir med:	application(s) for the second	or patent or cate have a
Prior Foreign Application				Priority Yes	Claimed No
Number	Country	Day/Month/Ye	ear Filed 9(e) of any United States provisional	applications(s)	isted below:
See attached App Application Ser. No. Thereby claim the beneas the subject matter oprovided by the first padefined in Title 37, Connational or PCT international	fit under Title 35 feach of the claragraph of Title de of Federal Rational filing da	Filing Date  5, United States Code, §1 ims of this application is n 35, United States Code, § egulations, §1.56 which on the of this application:	20 of any United States applications of disclosed in the prior United States (\$112, I acknowledge the duty to disclose occurred between the filing date of the states (\$120, I acknowledge).	(s) listed below es application in	and, insofar the manner
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Full name of seventh inventor	•
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Full name of eighth joint inventor, if any

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III name of thirteenth inventor	
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#### Full name of sixteenth inventor

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As a below named inventor, I hereby declare that:

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I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$  and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** No Yes Day/Month/Year Filed Country Number Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See attached Appendix A (listing 18 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 19 U.S. and PCT Patent Applications) Status: Patented, Pending, Abandoned Filing Date Application Ser. No. Status: Patented, Pending, Abandoned Filing Date Application Ser. No.







esociated with the following

**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

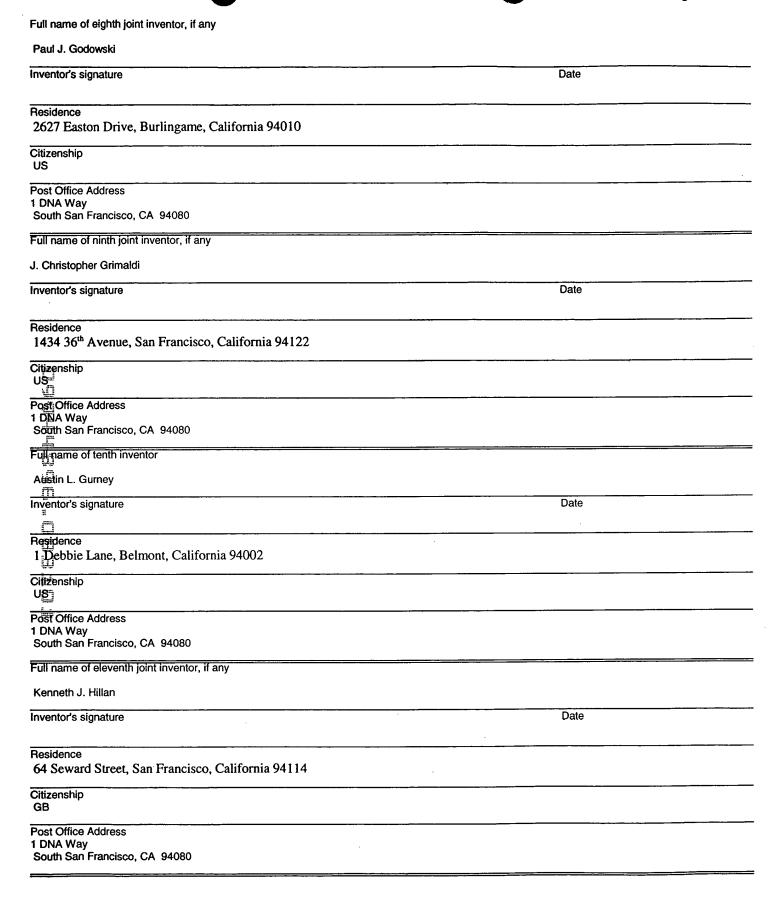
Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

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May a. Napier	/ Date/
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Full name of sixteenth inventor

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# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

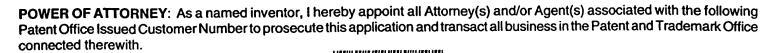
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#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$  and was amended on [(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

_	duty to disclose inform egulations, Section 1		the examination of this application	n accordance with Title 37,
inventor's certificat	e listed below and hav	nder Title 35, United Stat ve also identified below a on which priority is clair	es Code, §119(a)-(d) of any foreign ny foreign application for patent or i ned:	application(s) for patent or nventor's certificate have a
Prior Foreign Appl	ication(s):			Priority Claimed Yes No
N <del>u</del> mber	Country	Day/Month/Ye	ar Filed	
1 2 1	Appendix A (listi		nal Patent Applications)	
as the subject matt provided by the firs defined in Title 37,	er of each of the clain t paragraph of Title 3	ns of this application is no 5, United States Code, § gulations, §1.56 which o	O of any United States applications ( at disclosed in the prior United State I 12, I acknowledge the duty to discl ocurred between the filing date of th	s application in the manner ose material information as
See Attached	Appendix B (listi	ing 19 U.S. and PC	Г Patent Applications)	
Application Ser. N		Filing Date	Status: Patented, Pend	ng, Abandoned
Application Ser. N	0.	Filing Date	Status: Patented, Pend	ing, Abandoned



09157
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South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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rui name of third joint inventor, if any		
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## Page 4 Full name of eighth joint inventor, if any Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gurney Date Inventor's signature Residence 1-Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any

Kenneth J. Hillan

Inventor's signature

Date

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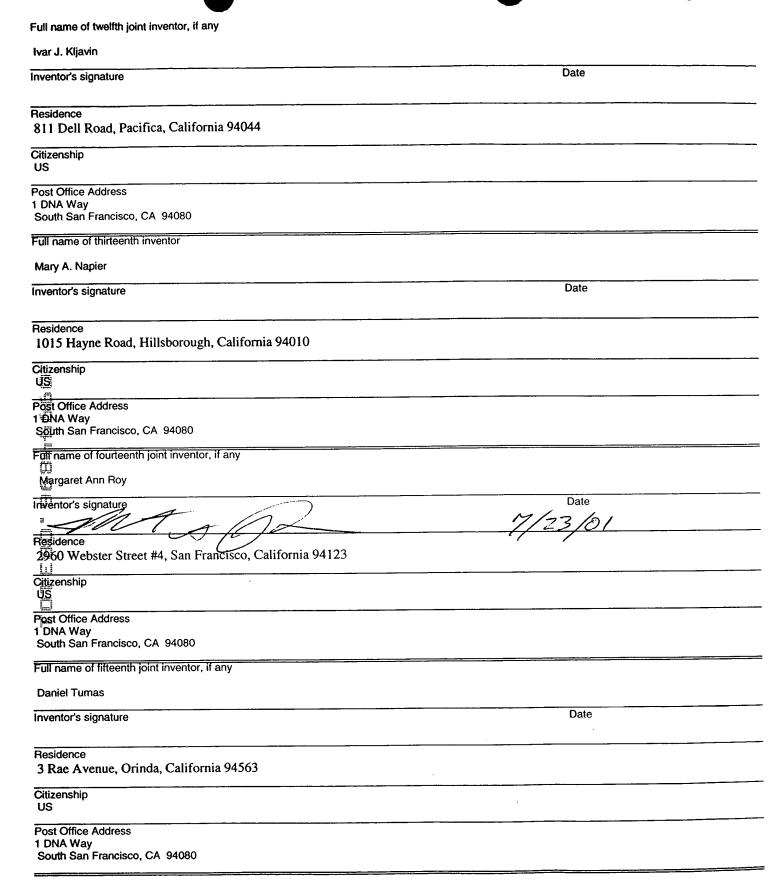
Citizenship

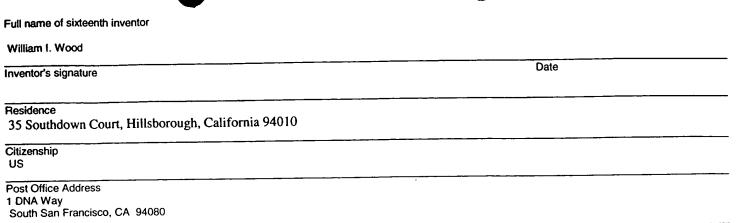
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William I. Wood

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